* Please type a plus sign (+) inside this box



PTO/SB/21 (08-00)
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DIPE		<u> </u>	Appli	cation Number	09/904,130					
TRAN	ISMITTAL	ı	Filing	Dat	July 11, 2001					
SEP 2 1 2000 FORM				Named Inventor	Watanuki, Tatsuya					
(to be used for all correspondence after initial filing)				Art Unit	2123	_				
PADENZEL			Exami	ner Name						
Total Number of Pages	s in This Submission	T	Attorn	ey Docket Number	16869P026700					
ENCLOSURES (check all that apply)										
Fee Transmittal F	orm-In Duplicate	Assign	ment Par	pers	After Allowance Communication to Group					
☐ Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences					
Amendment / Res	sponse	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information					
Affidavits/deci	laration(s)	Petition to Convert to a Provisional Application			Status Letter					
Extension of Time	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):						
Express Abandon	ment Request		al Discla		Executed Declaration and Power of Attorney					
☐ Information Disclo	CD, Number of CD(s)			Return Postcard						
Certified Copy of I	Remarks The Commissioner is a Deposit Account 20-14			authorized to charge any additional fees 430.	to					
Au			Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated August 28, 2001, the enclosures listed under Other Enclosures are to be made of record in the above-identified case. The fees are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.							
	SIGNA	TURE OF A	APPLIC	ANT, ATTORNEY, O	R AGENT					
Firm and Individual name	Townsend and Townsend and Crew LLP Steve Y. Cho Reg No. 44,612									
Signature	Signature Str 1/1									
Date 9/18/1										
CERTIFICATE OF MAILING										
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 18, 2001										
Typed or printed name	e Andrea S. Be	ck /			, ,					
Signature Date 9/18/01										

Burden Hour Statement: First form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3170849 v1

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CCC TO A NOMITTAL	Complete if Known				
FEE TRANSMITTALE	Application Number	09/904,130			
for FY 2001 🐪	Filing Date	July 11, 2001			
SEP 2 1 2001 &	First Named Inventor	Watanuki, Tatsuya			
Patent fees are subject to annual exision.	Examiner Name				
A. Walte	Group Art Unit	2123			
TOTAL AMOUNT OF PAYMENT (\$) 130	Attorney Docket No.	16869P-026700US			

TOTAL AMOUNT OF PAYMENT (\$) 130						130	Atto	Attorney Docket No.			16869P-026700US		
	<u> </u>		N	IETHOE	OF PAYMENT					FEE C	ALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. A	3. ADDITIONAL FEES							
١.	_		indicat	leo rees	and credit any d	ver payments to:	Large	Entity	Small	Entity			
	Deposit						Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Pald	
	Account Number		20-1	1430			105	130	205	65	Surcharge - late filing fee or oath	130	
								50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Townsend and Townsend and Crew LLP					139	130	139	130	Non-English specification				
	Name						147	2,520	147	2,520	For filing a request for reexamination		
	_	r 37 C	FR 1.16	and 1.1	7		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
2.		37 CF	R 1.27		y status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.	☐ Pay	mer	t Enclo	sea:			115	110	215	55	Extension for reply within first month	<u> </u>	
	☐ Chec	k	☐ Cre	dit card	☐ Money Order	☐ Other	. 116	390	216	195	Extension for reply within second month		
				FEE C	ALCULATION		117	890	217	445	Extension for reply within third month		
1.	BASI	C FIL	ING FEI				118	1,390	218	695	Extension for reply within fourth month		
Lar	_	•		Entity			128	1,890	228	945	Extension for reply within fifth month		
Fee		-			Fee Description	ı Fee Paid	119	310	219	155	Notice of Appeal		
101	710			(\$) 355	Utility filing fee	ree raid	7 120	310	220	155	Filing a brief in support of an appeal	<u> </u>	
106	320				Design filing fee		121	270	221	135	Request for oral hearing	I	
107	490	2	07 :	245	Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
108	710	_			Reissue filing fe		140	110	240	55	Petition to revive – unavoidable		
114	150	2	14	75	Provisional filing	fee] 141	1,240	241	620	Petition to revive – unintentional		
			em	BTOTAI	. (4)	(\$)	7 142	1,240	242	620	Utility issue fee (or reissue)		
			301	BIOIA	- (1)	[(a)	143	. 440	243	220	Design issue fee		
2. 1	XTRA C	LAIM	FEES				144	600	244	300	Plant issue fee		
						from Fee	122	130	122	130	Petitions to the Commissioner	<u> </u>	
	Claims [20 **	= [Claims x be	ow Paid	123	50	123	50	Petitions related to provisional applications		
ndepo Claim:	endent s		-3**	= _	×	=	126	180	126	180	Submission of Information Disclosure Stmt		
Multip Deper	ndent				× [. =	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Lar _t	•	•	Small Fee	Entity Fee	y Fee Descript	lon	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
103	le (\$ 18	-	Code 203	(\$) 9	Claims in exc		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
102	80)	202	40	Independent	claims in excess of 3	179	710	279	355	Request for Continued Examination (RCE)		
104	2	70	204	135		ndent claim, if not paid	1	· · ·				<u> </u>	
109	80 209 40 ** Reissue independent claims over original patent				169 900 169 900 Request for expedited examination of a design application								
110 18 210 9 ** Reissue claims in excess of 20 and over original patent						Other fee (specify)							
SUBTOTAL (2) (\$)						The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.							
					*Red	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)130							
**0	r number pr	eviou	sty paid, it	f greater;	For Reissues, se	e above					L		

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Steve Y. Cho	Registration No. (Attorney/Agent)	44,612	Telephone	650-326-2400		
Signature	Stor	1/_		Date	9/18/01		

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Washington, D.C. 2023I www.uspto.gov

09/904,130

07/11/2001

Tatsuya Watanuki

16869P-026700US

CONFIRMATION NO. 2398

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

OC000000006484454

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/24/2001 BABRAHA1 00000034 201430 09904130

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